

UNITED STATES PATENT AND TRADEMARK OFFICE

Date Allowed: December 8, 2004

KIKUGAWA, Atsushi et al.

In re the Application of:

Group Art Unit: 1742

Serial Number: 09/924,476

Examiner: John P. Sheehan

Filed: August 9, 2001

P.T.O. Confirmation No.: 2643

For:

RARE EARTH METAL-BASED PERMANENT MAGNET HAVING CORROSION-RESISTANT FILM AND METHOD FOR PRODUCING THE SAME

#### **REQUEST FOR RETURN OF FORM PTO-1449**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

December 17, 2004

Sir:

An Information Disclosure Statement was filed on **December 23, 2002** and on **June 22, 2004**. Copies of these Information Disclosure Statements are attached.

It is respectfully requested that the Examiner return a copy of the Form PTO-1449 which accompanies the Information Disclosure Statement filed on December 23, 2002 and on June 22, 2004, marked to indicate that the references listed therein have been considered by the Office.

The Examiner is requested to telephone the undersigned should he have any questions concerning this Request.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP

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William L. Brooks Attorney for Applicants Reg. No. 34,129

WLB/lrj

Atty. Docket No. **010983** Suite 1000 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930

23850

PATENT TRADEMARK OFFICE

Enclosure:

(2) Information Disclosure Statements filed on 12/23/02 & 6/22/04.

Date Stamped Post Card (copies)



# BEST AVAILABLE COPY

CARD NO: 19039

U.S. Patent Application

Docket No: 010983 Filed: 08/09/01

Serial No: 09/924,476

Issued:

Patent Number:

Applicant(s): KIKUGAWA, Atsushi et al.

Papers filed herewith on: 12/23/02

Other: Information Disclosure Statement; European Search

Report and 2 References



# COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated SGA/ARF in connection with the above-identified case.





In re the Application of: KIKUGAWA, Atsushi et al.

Serial No.: 09/924,476

Filed: August 9, 2001

OFFICE C 2 3003 CC

Examiner: Andrew L. Ottmans

P.T.O. Confirmation No.: 2643

FOR: RARE EARTH METAL-BASED PERMANENT MAGNET HAVING CORROSION-RESISTANT FILM AND METHOD FOR PRODUCING THE SAME

Do Not Use IDS

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(c)

Commissioner for Patents Washington, D.C. 20231

December 23, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached along with a European Search Report and Annex to the European Search Report.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no information contained in this statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.



The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

Stephen G. Adrian Attorney for Applicants Reg. No. 32,878

SGA/arf

Atty. Docket No. 010983

Suite 1000, 1725 K Street, N.W.

Washington, D.C. 20006

(202) 659-2930

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; European Search Report and references (2)

INFORMATION	Atty. Docket No. 010983 Serial No. 09/924,476		
DISCLOSURE CITATION	Applicant(s): KIKUGAWA, Atsushi et al.		
PTO-1449	Filing Date: August 9, 2001	Group Art Unit: 1742	

## **U.S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA						
	AB						7
	AC						
	AD						
	AE						

# FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)
 AF	EP 1 011 112 A2	06/21/2000	Europe	
 AG				
 AH			•	
 ΑI				
AJ				

### OTHER DOCUMENTS

Examiner		Date Considered
	AL	
	AK	Patent Abstracts of Japan, vol: 2000, no. 10, 17, November 2000 and JP 2000 199074, 18 July 2000.



CARD NO: 09791

U.S. Patent Application Serial No: 09/924,476 Docket No: 010983 Filed: 08/09/01

Patent Number:

Issued:

Applicant(s): KIKUGAWA, Atsushi et al.

Papers filed herewith on: 06/22/04

Fees: \$ 180.00

Other: IDS w/PTO-1449; copy Chinese OA w/translation



#### COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above-identified case. WLB/ALW

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N THE UNITED STATES PATENT AND TRADEMARK OFFICE

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re the Application of: KIKUGAWA, Atsushi et al.

Group Art Unit: 1742

Serial No.: 09/924,476

Examiner: OLTMANS, Andrew L.

Filed: August 9, 2001

P.T.O. Confirmation No.: 2643

For. RARE EARTH METAL-BASED PERMANENT MAGNET HAVING CORROSION-RESISTANT FILM AND METHOD FOR PRODUCING THE SAME

# INFORMATION DISCLOSURE STATEMENT AND STATEMENT PURSUANT TO 37 CFR 1.97(d)

Attn: Group Director

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

June 22, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the document listed on the attached Form PTO-1449. One copy of the document is attached.

This Information Disclosure Statement is submitted after the mailing of a final action, a Notice of Allowance, or an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee.

The undersigned hereby certifies

XX that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

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The above information is presented so that the Patent and Trademark Office can, in the

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06/24/2004 SSESHE1

U.S. Patent Application Serial No. 09/924,476 Information Disclosure Statement

first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

A check is attached hereto which covers the \$180.00 fee set forth in 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this Statement to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,

HANSON & BROOKS, LLP

William L. Brooks
Attorney for Applicant
Reg. No. 34,129

WLB/mla Atty. Docket No. **010983** Suite 1000 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930

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PATENT TRADEMARK OFFICE

Enclosures:

\$180.00 fee

PTO 1449 Reference

Copy of Chinese Patent Office Action with translation

Serial No. 09/924,476 Docket No. 010983 INFORMATION **DISCLOSURE** KIKUGAWA, Atsushi et al. Applicant(s): **CITATION** PTO-1449 Filing Date: August 9, 2001 Group Art Unit: 2643 JUH 22 2004 A Davaminer U.S. PATENT DOCUMENTS Subclass Filing Date Class Document No. Date Name (If appropriate) Initial AA AB ACAD ΑE FOREIGN PATENT DOCUMENTS Translation Country Document No. Date (Yes or No) 07/12/2000 China No 1259754A AF AG AH ΑI

# AK Copy of Chinese Patent Office Action with translation for corresponding Chinese Patent Application No 01124565.4 dated April 9, 2004. AL Date Considered

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